

# Tiered PSI and PPI Definitions from DOCCR

Updated: March 5, 2018

Revised: May 6, 2019

**NOTE:**

- Selection of a Tier includes the details of the lesser Tier. Example: If a judge chooses Tier 3, that report will include information related to Tier 1, 2, and 3.
- AFS will complete Tier 2 report for a defendant who is on supervised probation and has plead to a subsequent felony case.
- AFS will provide one report per case or sentencing.

<b>Tier 4 - Full Presentence Investigation</b>	
Return Times: IC 3wks – OC 6wks, 3 days	
Includes: <ul style="list-style-type: none"> <li>• Interview with Defendant</li> <li>• Detailed Social history</li> <li>• Criminal History</li> <li>• Full Evaluative Summary and Recommendations</li> <li>• Victim Impact (if applicable)</li> <li>• Meets all requirements of M.S. 609.115</li> </ul>	Recommended cases: <ul style="list-style-type: none"> <li>• All domestic violence related offenses</li> <li>• All criminal sexual conduct cases</li> <li>• Considering sentencing departure</li> <li>• Post-Verdict Sentencing</li> </ul>
<b>Tier 3 - PSI (shorter version) including Programming Recommendations</b>	
Return Times: IC 2wks – OC 6wks, 3 days	
Includes: <ul style="list-style-type: none"> <li>• Interview with Defendant</li> <li>• Criminal History</li> <li>• MICD Treatment Compliance</li> <li>• Victim Impact (if applicable)</li> <li>• Evaluative Summary</li> <li>• Treatment and Conditions Recommendation</li> </ul>	Recommended Cases: <ul style="list-style-type: none"> <li>• Negotiated Pleas needing probation recommendation for conditions and programming</li> </ul>
<b>Tier 2 - Jail Credit, Criminal History Report (Records Only), Conditional Release Compliance</b>	
Return Times: 1 week (IC and OC)	
Includes: <ul style="list-style-type: none"> <li>• Criminal history, including out-of-state records.</li> <li>• Details of pronounced conditions, any modifications to the sentence, violations, revocation hearings, dispositions, CR compliance.</li> </ul>	Recommended Cases: <ul style="list-style-type: none"> <li>• Best for cases that do not require any assessment of the defendant, but the court wishes to know more about criminal history to properly sentence.</li> <li>• Best for a subsequent case. A PSI was completed on a previous case and the defendant is on supervised probation.</li> </ul>
<b>Tier 1- Jail Credit, Guidelines Worksheet (Records Only)</b>	
Return Times: 1 week (IC and OC)	
Best used when there are concerns about accuracy of jail credit and/or criminal history (will include attempts to provide out of state criminal history).	

<b>Pre-Plea Investigation (no tiers)</b>
Return Times: IC 3 weeks - OC 6 wks, 3 days
<p>Includes:</p> <ul style="list-style-type: none"> <li>• Interview with Defendant to include brief social history</li> <li>• Criminal Record Summary</li> <li>• Complaint</li> </ul> <p>Does Not Include:</p> <ul style="list-style-type: none"> <li>• Victim impact/input</li> <li>• Departure recommendations</li> <li>• Probation recommendation/conditions</li> </ul>

**Template Examples:**

<b>Tier 4 - Full Presentence Investigation</b>
Return Times: IC 3weeks – OC 6wks, 3 days
 PSI Tier 4.pdf

<b>Tier 3 - PSI including Programming Recommendations</b>
Return Times: IC 2wks – OC 6wks, 3 days
 PSI Tier 3.pdf

<b>Tier 2 – Jail Credit, Criminal History Report (Records Only), Conditional Release Compliance</b>
Return Times: 1 week (IC and OC)
 PSI Tier 2.pdf

<b>Tier 1- Jail Credit, Guidelines Worksheet (Records Only)</b>
Return Times: 1 week (IC and OC)
 PSI Tier 1.pdf

<b>Pre-Plea Investigation (no tiers)</b>
Return Times: IC 3weeks – OC 6wks, 3 days
 New PPI template.pdf

